PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER FE 6140 (US) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) TON ACT III KNOW CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/EP2004/011487 INTERNATIONAL FILING DATE 13 October 2004 Oct 2003 TITLE OF INVENTION COMPONENTS AND CATALYSTS FOR THE POLYMERIZATION OF OLEFINS APPLICANT(S) FOR DO/EO/US BASELL POLIOLEFINE ITALIA s.r.l. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). included in PCT Request 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt acknowledgment card

20. X

Other items or information:

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Form PCT/IB/306

Copy of Internat'l Appln Copy of PCT Request (includes Decl.)

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and to a collection of information unless it displays a valid OMB control number.

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|---|---|--|---|-----------------------------|----------------|--------------------------|-------------|-------------|
|   | TION NO. (if known                                | n, see 37 CFR 1.5)                       |   | PPLICATION NO.<br>04/011487 |                | ATTORNEY'S DO<br>FE 6140 |             |             |
| The foll  | lowing fees have !                                | een submitted                            |   |                             | T <sub>C</sub> | ALCULATIONS              | PTC         | O USE ONLY  |
|   |   |  |   | \$300                       | \$             | 300                      | T           | 7002 0      |
| If International p<br>PCT Article 33                | 33(1)-(4)   |  | red by USPTO and all claims   | \$100                       | s              | 200                      |             |             |
| Search fee (37 C<br>Internatio<br>International Sea | onal Searching Aut<br>arch Report prepar          | thority<br>red and provided to           | he international application to   | \$100<br>\$400              | \$             | 400                      |             |             |
|   | TOTAL OF 21, 22                                   |  |   |                             | 1 5            | 900                      |             |             |
| Additional fe                                       | ee for specification isting or computer (         | and drawings filed program listing filed | d in paper over 100 sheets (e<br>ed in an electronic medium).<br>f paper or fraction thereof. | xcluding                    | İ              |                          |             |             |
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| Surcharge of \$13<br>claimed priority d             | 30.00 for furnishing<br>date (37 CFR 1.492        | 92(h)).                                  | aration later than 30 months f  |                             | \$             |                          |             |             |
| CLAIMS  | NUMB  | BER FILED                                | NUMBER EXTRA  | RATE                        | \$             |                          |             |             |
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| Applicant cla                                       | alms small entity st                              | tatus. See 37 CFR                        | R 1.27. Fees above are reduc  | ced by 1/2.                 | <u> </u>       |                          | 1           |             |
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|   |   |  | 1.21(h)). The assignment mu<br>\$40.00 per property   | ist be accompanied +        | \$             | 40                       |             |             |
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| a. A chec   | k in the amount of                                | f\$                                      | to cover the abo  | ove fees is enclosed.       |                |                          |             |             |
| b. X Please<br>A dupli                              | e charge my Depos<br>licate copy of this s        | sit Account No. $08$ sheet is enclosed.  | 8-2336 in the amount of   | \$ 940.00 to ∞              | over t         | he above fees.           |             |             |
| c. X The Cor  | ommissioner is here                               | reby authorized to c                     | charge any additional fees who of this sheet is enclosed.                                     | hich may be required,       | or cn          | edit any overpayme       | int to De   | posit       |
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|   |   |  | CFR 1.495 has not been me on to pending status.   | t, a petition to revive     | 37 (           | CFR 1.137(a) or (b       | )) must     | be filed    |
| SEND ALL CORE                                       | RESPONDENCE                                       | TO:                                      | •   |                             |                | : A Rel                  | 1           |             |
| 34872   |   | •••                                      |   | SIGNATURE                   | co             | i // rea                 |             | <del></del> |
|   | R. Reid   |  |   |                             |                |                          |             |             |
|   | USA Inc.<br>leton Road                            | A  |   | <u>William</u><br>NAME      | R.             | Reid                     | <del></del> |             |
|   | MD 21921  | 1  |   | 47,894                      |                |                          |             |             |
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|               | IN THE UNITED STATES PATENT AND TRADEMARK OFFICE   |
| In re         | patent application of  |
| Yuri          | Gulevich et al.  |
| Serial        | No. NOT ASSIGNED YET   |
| Filed:        | April 28, 2006   |
| For           | COMPONENTS AND CATALYSTS FOR THE POLYMERIZATION OF OLEFINS )   |
| Comr<br>P. O. | Stop PCT<br>nissioner for Patents<br>Box 1450<br>undria, VA 22313-1450   |
| Sir:          | TRANSMITTAL LETTER   |
|               | Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:   |
|               | Transmittal Letter Postcard Form PTO1390 (Transmittal Letter to the US Designated Office) (in duplicate) Application Data Sheet (3 pages) Preliminary Amendment (5 pages) Attachment A (MARKED-UP COPY OF SPEC) (17 pages) Attachment B (CLEAN COPY OF SUB SPEC) (17 pages) Copy of PCT Request including Declaration (6 pages) Copy of Declaration ( pages) Copy of International Application - 16 page(s) consisting of:  13 page(s) Textual Specification 2 page(s) of 10 Claims 1 page containing the Abstract |
|               | Copy of Form PCT/IB/306 (Notification of the Recording of a Change) Copy of Assignment (2 pages) Assignment Cover Sheet Response ( pages) Other ()   |
|               | Respectfully submitted,  |
|               | YURI GULEVICH ET AL.   |

Basell USA Inc. 912 Appleton Road Elkton, Maryland 21921 Date: April 28, 2006

William R. Reid Registration No. 47,894 Attorney for Applicant

101577694 Mai - 2011/1893

## **EXPRESS MAILING CERTIFICATE**

This certifies that the attached Transmittal Letter, PTO Form 1390 (in duplicate), Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 USC 371, and Application Data Sheet, Preliminary Amendment, Copy of PCT Request including Declaration, Form PCT/1B/306, Copy of Assignment, Assignment Cover Sheet, Specification (16 pages), including 10 Claims (2 pages) and Abstract (1 page) for the patent application of Yuri Gulevich et al. for COMPONENTS AND CATALYSTS FOR THE POLYMERIZATION OF OLEFINS (our ref: FE 6140 (US)) is being mailed by "Express Mail Post Office to Addressee" service in an envelope addressed:

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and deposited on April 28, 2006 as "Express Mail" in the United States Postal Service, the number of the "Express Mail" mailing label being EQ024623773US.

| Frank Sackmann                     | - ·      |
|------------------------------------|----------|
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| Signature of Person                |          |